

## N TO UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant:  | John J. McKillip  | Confirmation No.: 6502  |
|-------------|---|---|
| Appln No.:  | 10/004,510  | )<br>CERTIFICATE OF MAILING   |
| Filed:      | November 2, 2001  | l hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in |
| For:        | METHOD AND APPARATUS<br>FOR PRODUCING<br>MULTIPLE DIE-CUT<br>BUSINESS FORMS | an any along addressed to the Commissioner for  |
| TC/AU:      | 2854  | Registration to. 51,222 Attorney of Applicant(s)  |
| Examiner:   | Eickholt, Eugene H.   |   |
| Docket No.: | 72412   | )<br>)  |
| Customer N  | o.: 22242   | )<br>)  |

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 CFR § 1.56, and in accordance with 37 CFR §§ 1.97 and 1.98 and MPEP § 2001.06(c) regarding information learned through litigation, Applicant brings the following information with respect to the above-identified application to the attention of the Examiner. This information arose from *Ameriprint Corp. v. Malessa Partners, LLC et al*, civil action no. 02 C 8110, in the Northern District of Illinois involving United States Patent Nos. 6,182,572 ("the '572 patent") and 6,389,971 ("the '971 patent").

|    | NON PATENT LITERATURE DOCUMENTS                    | <u>PAGES</u>     |
|----|--|------------------|
|    | PLEADINGS AND TRANSCRIPTS                          |                  |
| 1. | Chicago Tag & Label, Inc.'s Response to Malessa's  | 1, 11-21         |
| •  | First Set of Interrogatories, March 31, 2003 (12   |                  |
|    | pages).  |                  |
|    |  |                  |
| 2. | Chicago Tag & Label, Inc.'s Supplemental           | 1-6, 8-14        |
|    | Response to Malessa's First Set of Interrogatories |                  |
|    | including exhibits, June 24, 2003 (13 pages).      |                  |
| 3. | Transcript of Patrick J. Quinlan's Deposition,     | 1, 14-52, 63-65  |
| 0. | August 27, 2003.                                   | 1, 11 02, 00 00  |
|    | 114gust 27, 2000.                                  |                  |
| 4. | Transcript of James Schulty's Deposition, June 6,  | 1, 59-107, 177-  |
|    | 2003.  | 181              |
|    |  |                  |
| 5. | Transcript of Davis Steidinger's Deposition, June  | 1, 32-63, 103-   |
|    | 19, 2003.  | 104, 110-119,    |
|    |  | 181-185          |
| 6. | Transcript of Steve Kreuzer's Deposition,          | 1, 9-84, 90      |
|    | September 8, 2003.                                 |                  |
| 7. | Transcript of David W. Paularena's Deposition,     | 1, 52-54, 75-76, |
| /. | August 29, 2003.                                   | 92               |
|    | August 49, 4000.                                   | 94               |

## NON PATENT LITERATURE DOCUMENTS

- 8. Fax from P.J. Quinlan to Dave Paularena dated May 24, 1997 (1 page).
- 9. Letter to P.J. Quilan from Natasha Stone on Webtron stationery dated February 2, 1998 (1 page) enclosing Machine Order and Contract dated February 2, 1998 (2 pages).
- 10. Drawing No. DP-1853 entitled "Flexo Press BX 1305" with handwritten markings and dated June 17, 1998 (1 sheet).
- 11. Letter on Aquaflex stationery to Mr. Quinlan from Daniel Presseault hand dated April 10, 1998 with hand drawing titled "Proposal for 'Preferred Customer Club'" (2 pages).
- 12. Drawing No. DP-1945-1 entitled "Flexo Press BX 1308(4)" dated July 29, 1998 with photographs attached (3 sheets).
- 13. Letter on Tamarack stationery from Gayle Harrop to a Jim Schulty dated February 14, 1998 enclosing document entitled "Tamarack Affixing Equipment" dated March 9, 1998 (2 pages).
- 14. Document entitled Uarco General Requisition No. 36556 dated November 10, 1994 (1 page).

## NON PATENT LITERATURE DOCUMENTS

- Document entitled "Interoffice Memo" from a George Zehner to a
   Don Sanders and dated March 3, 1995 (1 page).
- 16. Photograph of Uarco Press 391, as marked by Mr. Kreuzer during his deposition on September 8, 2003 (1 photo).
- 17. Document entitled "Interoffice Memo" from a Steve Kreuzer to a Van Kleinman dated November 2, 1995 (1 page).

The above documents are listed on Form PTO/SB/08 which accompanies this Information Disclosure Statement. A copy of each reference is enclosed herewith.

The above information relates to allegations of invalidity of the '971 and '572 patents, such as invalidity based on prior offers for sale and prior public use. The lawsuit has been dismissed by the parties. Portions of the transcripts have been redacted pursuant to a Protective Order. The following table identifies the exhibits discussed in the depositions. Each of these exhibits is either submitted herewith or was previously submitted.

DEPOSITION

**IDENTIFICATION OF EXHIBIT** 

Ехнівіт

Quinlan Dep. Fax from P.J. Quinlan to Dave Paularena dated May 24, 1997 (1

Ex. 126. page).

| Deposition<br>Exhibit | IDENTIFICATION OF EXHIBIT                                      |
|-----------------------|--|
| Quinlan Dep.          | Letter on Aquaflex stationary to a Mr. Quinlan dated June 24,  |
| Ex. 127.              | 1997 (2 pages).  |
| Quinlan Dep.          | Document entitled "Tamarack Affixing Equipment integrated      |
| Exs. 128 and          | with an Aquaflex press for GenForms" dated August 1, 1997, and |
| 129.                  | letters dated July 02, 1997, July 10, 1997, August 1, 1997 and |
|                       | September 3, 1997 (6 pages).                                   |
| Quinlan Dep.          | Drawing No. DP-1853 entitled "Flexo Press BX 1305" with        |
| Ex. 130.              | handwritten markings and dated June 17, 1998 (1 sheet).        |
|                       |  |
| Quinlan Dep.          | Letter on Aquaflex stationery to Mr. Quinlan from Daniel       |
| Ex. 131.              | Presseault hand dated April 10, 1998 with hand drawing titled  |
|                       | "Proposal for 'Preferred Customer Club'" (2 pages).            |
| Quinlan Dep.          | Document entitled "GenForms Purchase Order" and dated          |
| Ex. 132.              | February 4, 1998 (1 page).                                     |
|                       |  |
| Schulty Dep.          | Drawing No. DP-1945-1 entitled "Flexo Press BX 1308(4)" dated  |
| Ex. 65.               | July 29, 1998 with photographs attached (3 sheets).            |

| DEPOSITIO<br>EXHIBIT |   |
|----------------------|---|
| Schulty De           | p. Letter on Tamarack stationery from a Gayle Harrop to a Jim   |
| Ex. 67 (seve         | eral Schulty dated March 10, 1998 (1 page) enclosing document   |
| documents            | entitled "Tamarack Affixing Equipment" dated March 9, 1998 (2   |
| included).           | pages).   |
|                      |   |
| Schulty De           | p. Letter on Tamarack stationery from Gayle Harrop to a Jim     |
| Ex. 67.              | Schulty dated February 14, 1998 enclosing document entitled     |
|                      | "Tamarack Affixing Equipment" dated March 9, 1998 (2 pages).    |
|                      |   |
| Schulty De           | p. Letter on Tamarack stationery from a Gayle Harrop to a Jim   |
| Ex. 68.              | Schulty dated March 10, 1998 (1 page) enclosing document        |
|                      | entitled "Tamarack Affixing Equipment" dated March 9, 1998 (2   |
|                      | pages).   |
|                      |   |
| Schulty De           | p. Letter on Tamarack stationary from a Gayle Harrop to a Jim   |
| Ex. 69.              | Schulty dated February 6, 1998 (2 pages) enclosing document     |
|                      | entitled "Tamarack Finishing Equipment" dated February 6, 1998  |
|                      | (4 pages).  |
|                      |   |
| Steidinger           | Drawing No. 930928, entitled "Tamarack Label Application In-    |
| Dep. Ex. 19          | Line Installation With Hamilton 28"D. Roll 20" Web Collator for |
| (several             | General Business Forms" and dated September 27, 1993 (1 sheet). |
| documents            |   |
| included).           |   |

| Deposition<br>Exhibit | IDENTIFICATION OF EXHIBIT                                      |
|-----------------------|--|
| Steidinger            | Document entitled "General Business Forms" and dated October   |
| Dep. Ex. 19.          | 29, 1993 (3 pages).  |
|                       |  |
| Steidinger            | Document entitled "Tamarack Products Inc. Invoice No. 940921"  |
| Dep. Ex. 19.          | and dated September 21, 1994 (3 pages).                        |
|                       |  |
| Steidinger            | Drawing No. 921014, entitled "Hamilton Jumbo/Stencil-Label     |
| Dep. Ex. 20.          | Applicator" and dated October 14, 1992 (1 sheet).              |
|                       |  |
| Steidinger            | Tamarack Brochure having a footer reading "Print '97           |
| Dep. Ex. 21.          | McCormick Place, Chicago" (2 pages).                           |
|                       |  |
| Steidinger            | Document entitled "Bielomatik - Web-Finishing Machine" (pp.    |
| Dep. Ex. 92.          | A656-667).   |
|                       |  |
| Steidinger            | Photograph of Uarco Press 391 no date known (1 photo).         |
| Dep. Ex. 98.          |  |
| Kreuzer Dep.          | Document entitled Uarco General Requisition No. 36556 dated    |
| Ex. 58.               | November 10, 1994 (1 page).                                    |
|                       |  |
| Kreuzer Dep.          | Document entitled "Interoffice Memo" from a Steve Kreuzer to a |
| Ex. 59.               | George Zehner and dated December 27, 1994 (1 page).            |

Paulareno

Dep. Ex. 127.

| DEPOSITION<br>EXHIBIT | Identification of Exhibit                                      |
|-----------------------|--|
| Kreuzer Dep.          | Document entitled "Interoffice Memo" from a Steve Kreuzer to a |
| Ex. 60.               | Don Sanders and dated February 3, 1995 (1 page).               |
| Kreuzer Dep.          | Document entitled "Interoffice Memo" from a Steve Kreuzer to a |
| Ex. 61.               | George Zehner and dated March 7, 1995 (3 pages).               |
| Kreuzer Dep.          | Document entitled "Interoffice Memo" from a George Zehner to   |
| Ex. 62.               | a Don Sanders and dated March 3, 1995 (1 page).                |
| Kreuzer Dep.          | Document entitled "Interoffice Memo" from a Steve Kreuzer to a |
| Ex. 63.               | George Zehner and dated March 20, 1995 (2 pages).              |
| Kreuzer Dep.          | Document entitled "Interoffice Memo" from a Steve Kreuzer to a |
| Ex. 64.               | Tony Gallagher dated August 2, 1996 (2 pages).                 |
| Kreuzer Dep.          | Photograph of Uarco Press 391, as marked by Mr. Kreuzer during |
| Ex. 67.               | his deposition on September 8, 2003 (1 photo).                 |
| Kreuzer Dep.          | Document entitled "Interoffice Memo" from a Steve Kreuzer to a |
| Ex. 68.               | Van Kleinman dated November 2, 1995 (1 page).                  |

Same as Qunilan Dep. Ex. 127.

PATENT APPLICATION Attorney Docket 72412

Appln No. 10/004,510 Filed November 2, 2001

DEPOSITION

**IDENTIFICATION OF EXHIBIT** 

Ехнівіт

Paulareno

Same as Qunilan Dep. Ex. 128.

Dep. Ex. 128.

Paulareno

Same as Qunilan Dep. Ex. 129.

Dep. Ex. 129.

Paulareno

Same as Qunilan Dep. Ex. 132.

Dep. Ex. 132.

The Applicant expressly files this Information Disclosure Statement pursuant to 37 C.F.R. §1.97(h), and therefore, the submission of this information is not to be construed as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

The Commissioner is hereby authorized to charge any additional fees which may be required with respect to this communication or credit any overpayment to Deposit Account No. 06-1135.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

Date: January 6, 2004

Jon A. Birmingham Registration No. 51,222

Suite 1600 120 South LaSalle Street Chicago, Illinois 60603-3406 Telephone: (312) 577-7000 Facsimile: (312) 577-7007

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| • |     |   | _ |      | _                                     |

Substitute for Form PTO-1449 INFORMATION DISCLOSURE

PTO/SB/08A

STATEMENT BY APPLICANT

| (use as many sneets as necessary) |   |    |   | Examiner Name   |
|-----------------------------------|---|----|---|-----------------|
| Sheet                             | 1 | of | 2 | Attorney Docket |

| Application Number   | 10/004,510          |
|----------------------|---------------------|
| Filing Date          | November 2, 2001    |
| First Named Inventor | McKillip            |
| Art Unit             | 2854                |
| Examiner Name        | Eickholt, Eugene H. |
| Attorney Docket      | 72412               |

|                       |              | NON PATENT LITERATURE DOCUMENTS  |    |
|-----------------------|--------------|--|----|
| Examiner<br>Initials* | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T² |
|                       |              | Chicago Tag & Label, Inc.'s Response to Malessa's First Set of Interrogatories, March 31, 2003 (12 pages). Pages 1, 11-21.   |    |
|                       |              | Chicago Tag & Label, Inc.'s Supplemental Response to Malessa's First Set of Interrogatories, including exhibits, June 24, 2003 (13 pages). Pages 1-6, 8-14.  |    |
|                       | -            | Transcript of Patrick J. Quinlan's Deposition, August 27, 2003 (11 pages). Pages 1, 14-52, 63-65.  |    |
|                       | ,            | Transcript of James Schulty's Deposition, June 6, 2003 (16 pages). Pages 1, 59-107, 177-181.   |    |
|                       |              | Transcript of Davis Steidinger's Deposition, June 19, 2003 (14 pages). Pages 1, 32-63, 103-104, 110-119, 181-185.  |    |
|                       |              | Transcript of Steve Kreuzer's Deposition, September 8, 2003 (21 pages). Pages 1, 9-84, 90.   |    |
|                       |              | Transcript of David W. Paularena's Deposition, August 29, 2003 (5 pages). Pages 1, 52-54, 75-76, 92.   |    |
|                       |              | Fax from P.J. Quinlan to Dave Paularena dated May 24, 1997 (1 page).   |    |
|                       |              | Letter to P.J. Quilan from Natasha Stone on Webtron stationery dated February 2, 1998 (1 page) enclosing Machine Order and Contract dated February 2, 1998 (2 pages).  |    |
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| Examiner  | Date       |
|-----------|------------|
| Signature | Considered |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

|   | <b>&amp;</b> |    |           |                      |                    |  |
|---|--------------|----|-----------|----------------------|--------------------|--|
| PTO/SB/08B Substitute for Form PTO-1449  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary) |              |    | RADEME    | Application Number   | 10/215,123         |  |
|   |              |    |           | Filing Date          | August 8, 2002     |  |
|   |              |    |           | First Named Inventor | McKillip           |  |
|   |              |    | PLICANT   | Art Unit             | 3611               |  |
|   |              |    | ecessary) | Examiner Name        | Hoge, Gary Chapman |  |
| Sheet   | 2            | of | 2         | Attorney Docket      | 74789              |  |

| NON PATENT LITERATURE DOCUMENTS |              |  |    |
|---------------------------------|--------------|--|----|
| Examiner<br>Initials*           | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T² |
|                                 |              | Document entitled Uarco General Requisition No. 36556 dated November 10, 1994 (1 page).  |    |
|                                 |              | Document entitled "Interoffice Memo" from a George Zehner to a Don Sanders and dated March 3, 1995 (1 page).   |    |
| -                               |              | Photograph of Uarco Press 391, as marked by Mr. Kreuzer during his deposition on September 8, 2003 (1 photo).  |    |
|                                 |              | Document entitled "Interoffice Memo" from a Steve Kreuzer to a Van Kleinman dated November 2, 1995 (1 page).   |    |
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|                                 |              |  | -  |
|                                 |              |  |    |
| Examine:<br>Signature           |              | Date<br>Considered   |    |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Drawline through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.